

CONFLICT OF INTEREST CODE

DEPARTMENT OF JUSTICE

The Political Reform Act, Government Code section 81000 et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes. The Fair Political Practices Commission has adopted a regulation, Title 2, California Code of Regulations, section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act after public notice and hearings. Therefore, the terms of Title 2, California Code of Regulations, section 18730, and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of the Department of Justice.

Pursuant to section 4(A) of the standard code, designated employees shall file Statements of Economic Interests with their agencies.

**CONFLICT OF INTEREST CODE
DEPARTMENT OF JUSTICE**

APPENDIX

<u>Designated Employees</u>	<u>Disclosure Categories</u>
EXECUTIVE OFFICE AND PROGRAMS	
Chief Deputy Attorneys General	1
Chief Assistant Attorneys General	1
Special Assistant Attorneys General	1
Special Assistants and Special Counsels	1
Division Directors	1
Deputy/Assistant Division Directors	1
Director of the Office of Legislative Affairs and the advocates who work under this position	1
Assistant Attorney General in charge of the Opinion Unit and the deputies who work under this position	1
Exempt Appointments or Career Executive Appointments	1
Employees receiving salaries equal to or above that of Staff Services Manager I who are regularly assigned tasks involving the development, review, or award of bids/contracts	14
Crime Prevention Specialists who are regularly assigned tasks involving the development, review, or award of bids/contracts	15
Director of the Office of Native American Affairs	1
Director and Assistant Director of the Office of Program Review and Audits	1
Auditors assigned to the Office of Program Review and Audits	9
Press Secretary	1
Deputy Press Secretary	1

<u>Designated Employees</u>	<u>Disclosure Categories</u>
Deputy Attorneys General	1
Members of the California Law Enforcement Telecommunications System Advisory Committee	13
All other employees holding positions in managerial classifications	14

DIVISION OF CIVIL LAW

Senior Assistant Attorneys General	1
Employees holding positions in managerial classifications	14
Auditors in the Business and Tax Section	1
Deputy Attorneys General	
-- in GOVERNMENT LAW SECTION	1
-- in BUSINESS AND TAX SECTION	1
-- in LICENSING SECTION	3
-- in HEALTH QUALITY ENFORCEMENT	3
-- in HEALTH, EDUCATION AND WELFARE SECTION	3
-- in TORT AND CONDEMNATION SECTION	5
-- in EMPLOYMENT, REGULATION AND ADMINISTRATION SECTION	3

DIVISION OF CRIMINAL LAW

Senior Assistant Attorneys General	1
Executive Secretary, Research Advisory Panel	7
Members, Research Advisory Panel	7

<u>Designated Employees</u>	<u>Disclosure Categories</u>
Deputy Attorneys General in Bureau of Medi-Cal Fraud and Elder Abuse	8
Auditors in Bureau of Medi-Cal Fraud and Elder Abuse	8
Special Agents/Investigators in Bureau of Medi-Cal Fraud and Elder Abuse	8
All other employees holding positions in managerial classifications	14

DIVISION OF PUBLIC RIGHTS

Senior Assistant Attorneys General	1
Registrar of Charitable Trusts	1
Auditors with the Registry of Charitable Trusts	1
Auditors in Energy and Corporate Responsibility Section	1
Auditors in the False Claims Section	1
Employees holding positions in managerial classifications and supervisors receiving salaries equal to or above that of Staff Services Manager I with the Registry of Charitable Trusts	20
All other employees holding positions in managerial classifications	14
Deputy Attorneys General --	
-- in ENVIRONMENT SECTION	1
-- in LAND LAW SECTION	6
-- in ANTITRUST LAW SECTION	1
-- in CONSUMER LAW SECTION	2
-- in NATURAL RESOURCES LAW SECTION	1
-- in CHARITABLE TRUSTS SECTION	1
-- in CIVIL RIGHTS ENFORCEMENT SECTION	1

<u>Designated Employees</u>	<u>Disclosure Categories</u>
-- in INDIAN AND GAMING LAW SECTION	1
-- in TOBACCO LITIGATION AND ENFORCEMENT SECTION	11
-- in ENERGY AND CORPORATE RESPONSIBILITY SECTION	1
-- in FALSE CLAIMS SECTION	1

DIVISION OF ADMINISTRATIVE SERVICES

Employees receiving salaries equal to or above that of Staff Services Manager I who are regularly assigned tasks involving the development, review, or award of bids/contracts	9
All other employees holding positions in managerial or supervisory classifications who receive salaries equal to or above that of Staff Services Manager I	9
Conference Coordinator	19

DIVISION OF LEGAL SUPPORT AND TECHNOLOGY

Employees receiving salaries equal to or above that of Staff Services Manager I who are regularly assigned tasks involving the development, review, or award of bids/contracts in the Law Practice Support Section	16
Senior Staff Information Systems Analyst in the Law Practice Support Section	16
Principal Law Librarian	14
Legal Office Administrators	14
All other employees holding positions in managerial or supervisory classifications who receive salaries equal to or above that of Staff Services Manager I	9

Designated Employees

Disclosure
Categories

DIVISION OF CALIFORNIA JUSTICE INFORMATION SERVICES

Bureau Chiefs	1
Assistant Bureau Chiefs	1
Employees receiving salaries equal to or above that of Staff Services Manager I who are regularly assigned tasks involving the development, review, or award of bids/contracts for information technology services or products	4
All other employees holding positions in managerial or supervisory classifications who receive salaries equal to or above that of Staff Services Manager I	14

DIVISION OF LAW ENFORCEMENT

Bureau Chiefs	1
Assistant Bureau Chiefs	1
Deputy Bureau Chief of the Bureau of Narcotics Enforcement	1
Employees holding positions in the Data Processing Manager series assigned to the Western States Information Network (WSIN)	4
All other employees holding positions in managerial or supervisory classifications who receive salaries equal to or above that of Staff Services Manager I	14

FIREARMS DIVISION

Deputy Attorney General	17
Special Agents	17
Field Representatives	17
All other employees holding positions in managerial or supervisory classifications who receive salaries equal to or above that of Staff Services Manager I	17

Designated Employees

Disclosure
Categories

DIVISION OF GAMBLING CONTROL

Special Agent Supervisors 18

Field Representatives 18

Employees holding positions in managerial or supervisory
classifications who receive salaries equal to or above that of Staff
Services Manager I 18

Auditors 18

Associate Government Program Analysts, Tribal Licensing 18

Associate Government Program Analysts, Cardroom Licensing 18

CONSULTANTS

Consultant 10

SPECIAL TEAMS AND TASK FORCES

Assigned personnel including Deputy Attorneys General, Auditors,
and Special Agents/Investigators 12

Disclosure Categories

1. Designated employees in this category shall disclose all investments, interests in real property, income, including gifts, loans and travel payments, and business positions.
2. Designated employees in this category shall disclose all investments, income, including gifts, loans and travel payments, and business positions.
3. Designated employees in this category shall disclose investments, income, including gifts, loans and travel payments, and business positions to the extent that they know or have reason to know that the business entity, in which the designated employee holds the investment or business position, or the income, or source of income, is either directly and materially subject to regulation by or possesses a contract with any of the agencies, departments, boards, commissions or bureaus which are clients of the designated employee's section. A list of each section's clients will be maintained by the filing officer and provided to the designated employee along with the statement of economic interests. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
4. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice or the State of California to provide services, supplies, materials or machinery to the Department of Justice involving the field of information technology.
5. Designated employees in this category shall disclose business positions, investments, and income, including gifts, loans and travel payments, to the extent that they know or have reason to know that the business entity, in which the designated employee holds the investment or business position, or source of income engages in the general liability insurance business, or the income is derived in whole or part, from the general liability insurance business. Designated employees also shall disclose interests in real property. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
6. Designated employees in this category shall disclose interests in real property, investments, business positions, and income, including gifts, loans and travel payments, to the extent they know or have reason to know that the interest in real property, the business entity in which the designated employee holds the investment or business position, or the income, or source

of income, is engaged in the production of oil and gas or the exploitation of geothermal resources or which they know or have reason to know is directly subject to regulation by or possesses a contract with any agencies, departments, boards, commissions or bureaus which are clients of the employee's section. A list of each section's clients will be maintained by the filing officer and provided to the designated employee along with the statement of economic interests. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.

7. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know, or have reason to know is one of the type which has within the preceding two years received approval from the Research Advisory Panel on drug-related research projects. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
8. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from providers of health-care-related services as defined by California Code of Regulations, Title 22, Section 51051. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
9. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice or the State of California to provide services, supplies, materials, or machinery of any type to the Department of Justice.
10. Designated employees in this category shall disclose their interests pursuant to category 1, unless the Chief Deputy determines, in writing, that a more limited category satisfies the disclosure requirements of the Political Reform Act. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Deputy's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.

11. Designated employees in this category shall disclose income, including gifts, loans and travel payments, from investments in business-entities that engage in the manufacture, marketing, distribution or sale of tobacco products.
12. Designated employees in this category shall disclose their interests pursuant to category 1, unless the Chief Deputy determines, in writing, that a more limited category satisfies the disclosure requirements of the Political Reform Act. Such written determination shall include a description of the employee's duties and, based upon that description, a statement of the extent of disclosure requirements. The Chief Deputy's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.
13. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type that provides services, supplies, materials or machinery involving the fields of telecommunications and information technology.
14. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice or the State of California to provide services, supplies, materials, or machinery of any type to the employee's division.
15. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice or the State of California to provide services, supplies, materials, or machinery involving audio/visual/digital imaging services, to the Department of Justice.
16. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice or the State of California to provide litigation support technology software or consulting services to the Department of Justice.
17. Designated employees in this category shall disclose income, including gifts, loans and travel payments, from investments in business-entities that engage in the manufacture, marketing, distribution or sale of firearms.

In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.

18. Designated employees in this category shall disclose all investments, income, including gifts, loans and travel payments, and business positions to the extent that they know or have reason to know that the business entity, in which the designated employee holds the investment or business position, or source of income, is a licensee, registrant or anyone required to apply for a license, registration, finding of suitability, or any other approval required under the Gambling Control Act or Tribal State Gambling Compact or a designated agent of such applicant, licensee or registrant. Designated employees also shall disclose interests in real property. In addition, designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's section or bureau, not including contracts which benefit the Department as a whole.
19. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity which they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery in connection with the rental of conference facilities, meeting rooms, hotel rooms or the provision of food service.
20. Designated employees in this category shall disclose business positions and investments in and income, including gifts, loans and travel payments, from any business entity that they know or have reason to know is of the type which has within the preceding two years contracted with the Department of Justice to provide services, supplies, materials, or machinery of any type to the employee's registry, section or bureau, not including contracts which benefit the Department as a whole.